

LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT

(Use several sheets if necessary)

Docket 2000sd

S.N. 09/045.734

APPLICANT

Luciano, Joaquin S.

FILING DATE

03/20/98

GROUP

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AA	5 7 0 8 5 9 1	1/98	Givens	364	497	6/7/95
AB	5 7 0 1 3 9 4	12/97	Arita, et al.	395	011	12/90
AC	5 5 9 8 5 0 9	1/97	Takahashi, et al.	395	022	3/94
AD	5 5 9 1 5 8 8	1/97	Goldstein, et al.	435	7.1	9/94
AE	5 2 9 5 1 9 7	3/94	Takenaga, et al.	382	14	
AF	5 2 2 2 1 9 4	6/93	Nishimura, et al.	395	23	
AG						
AH						
AI						
AJ						
AK						

FOREIGN PATENT DOCUMENTS

DOCUMENTS														
		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
		YES	NO											
	AL													
	AM													
	AN													
	AO													
	AP													

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AR	See attached pages 2-5
AS	
AT	

EXAMINER

SL

DATE CONSIDERED

8/27/98

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



LIST OF ART CITED BY APPLICANT (cont.)

- 5m
- AR. Aboul-Saleh, M. T. And Coppen, A. (1983). Classification of depression and response to antidepressive therapies. *British Journal of Psychiatry*, 143: 601-603.
- AS. Bowden, C., et.al.; (1993). Fluoxetine and desipramine in major depressive disorder. *Journal of Clinical Psychopharmacology* 13: 305-311.
- AT. Bryson, A.E. and Ho, Y.-C.; (1975) *Applied Optimal Control*, Hemisphere Publishing Co., New York, pp. 42-89.
- AU. Bryson, A.E. And Ho, Y.-C. (1969) *Applied Optimal Control*. Blaisdell, New York
- AV. Carney, M., et. Al. (1986) Prediction of outcome in depressive illness by the newcastle diagnosis scale: Its relationship to with the unipolar/bipolar and DMS-III systems. *British Journal of Psychiatry* deBoor, DC.; (1978) *A practical guide to splines*, Springer-Verlag.
- AW. Efron, B. (1982) *The Jackknife, the bootstrap, and other resampling plans*. Society for Industrial and Applied Mathematics, Philadelphia, PA, Table of Contents.
- AX. Efron, B. And Tibshirani, R. (1991) Statistical data analysis in the computer age. *SCIENCE* 253: 390-395
- AY. Elkin, I., et.al. (1989) National Institute of Mental Health treatment of depression collaborative, *Archives of General Psychiatry* 46: 971-983.
- AZ. Filip, V., et. al. (1993) Predicting therapeutic results with levoprotiline and maprotiline in major depression: The role of the outcome criteria. *British Journal of Psychiatry*, 163: 35-38
- BA. Grossberg, S.; (1982) *Studies of Mind and Brain*, D. Reidel, Dordrecht, Holland, pp. 74-79.
- BB. Hamilton, M. (1960) A rating scale for depression. *Journal of Neurological and Neurosurgical Psychiatry* 23: 56-62
- BC. Hamilton, M. (1967) Development of a rating scale for primary depression illness. *British Journal of Social and Clinical Psychiatry* 8: 278-298
- BD. Hinrichsen, G., et. al. (1993) Factors associated with recovery from
- 5m

and relapse into major depressive disorder in the elderly. *American Journal of Psychiatry*, 150: 1820-1825

BF.

Hoencamp, E., et. al.; (1994) Predictors of (non-) response in depressed outpatient treated with a three-phase sequential mediation strategy. *Journal of Affective Disorders*, 31:235-246

BG.

Hull, J.W., et.al.; (1993) Time series analysis of intervention effects, fluoxetine therapy as a case illustration. *Journal of Nervous and Mental Disease* 181: 48-53

BH.

Johnson, S.L., et. al. (1994) Clinical characteristics associated with interpersonal depression: symptoms, course, and treatment response. *Journal of Affective Disorders*, 31: 97-109

BI.

Joyce, P.R., et.al. (1994). Temperament predicts clomipramine and despramine response in major depression. *Journal of Affective Disorders* 30: 35-46

BJ.

Joyce, P.R. And Paykel, E. (1989) Predictors of drug response in depression. *Archives of General Psychiatry* 46: 89-99

BK.

Katon, W., et. al. (1994) The predictors of depression in primary care. *Journal of Affective Disorders*, 31: 81-90

BL.

Katz, M., et.al.; (1987) The timing, specificity, and clinical predictors of tricyclic drug effects in depression. *Psychological Medicine* 17: 297-309

BM.

Kocsis, J.H., et. Al. (1989) Prediction of response of chronic depression to imipramine. *Journal of Affective Disorders* 17: 225-260

BN. Lehmann, E.L. (1986). *Testing statistical hypotheses*. Wiley Series in Probability and Mathematical Statistics, Wiley, New York.

BO.

Luce, R.D., et. al. (1990) *Foundations of Measurement*, volume 1: Additive and polynomial representations. Academic Press, Inc., New York, pp. 454-544.

BP.

Luce, R.D. (1990). *Foundations of Measurement*, vol. 3: Representation, axiomatization, and invariance. Academic Press, Inc., New York, pp. 267-337.

BQ.

McGrath, P.J., et.al. (1992) Predictive value of symptoms of atypical depression for differential treatment outcome. *Journal of Clinical Psychopharmacology* 12: 197-202

BR.

Nagayama, H., et. al. (1991) Prediction of efficacy of

antidepressants by 1-week test therapy in depression. *Journal of Affective Disorders*, 23: 213-216.

BS. Nierenberg, A.A., et.al.; (1991) Methodological considerations for the study of treatment-resistant depression. In Amsterdam: J.D., editor, *Refractory Depression*, vol. 2 of *Advances in Neuropsychiatry and Psychopharmacology*, pp. 1-12, Raven Press, Ltd., New York.

BT. Pande, A., et.al. (1988) Predictors of response to electroconvulsive therapy in major depressive disorder. *Biological Psychiatry* 24: 91-93

BU. Paykel, E.S. (1972) Depressive typologies and response to amitriptyline. *British Journal of Psychiatry* 120: 147-156

BV. Popescu, C., et.al. (1993). Predictors of the response to tricyclic antidepressants in major depression. *Roman Journal of Neurology and Psychiatry*, 31: 117-134

BW. Quitkin, F. M., et.al.; (1984) Identification of true drug response to antidepressants. *Arch. Gen. Psychiatry* 41: 782-786

BX. Quitkin, F. M., et.al.; (1987) Use of pattern analysis to identify true drug response: A replication. *Arch. Gen. Psychiatry* 44: 259-264

BY. Quitkin, F.M., et.al.; (1993) Columbia Atypical Depression, *British Journal of Psychiatry* 163 (suppl. 21): 30-34

BZ. Rao, C.R. (1973). *Linear Statistical inference and its applications*. Wiley Series in probability and mathematical statistics. Wiley, New York, 2nd edition. A Wiley-Interscience publication, pp. 172-173, 220-231, and 432-433.

CA. Raskin, A. and Crook, T.A. (1976) The endogenous-neurotic distinction as a predictor of response to antidepressant drugs. *Psychological Medicine* 6: 59-70.

CB. Rumelhart, D. E. , et. al. (1986) Learning representations by back-propagating errors. *Nature* 323: 533-536

CC. Samson, J. A., et. Al. (1994) Urinary MHPG and clinical symptoms in patients with unipolar depression. *Psychiatry Research* 51:157-165.

CD. Sauer, H., et.al. (1986) Prediction of the amitriptyline response: Psychopathology versus neuroendocrinology. *International Clinical Psychopharmacology* 1: 284-295

CE. Simpson, G.M., et.al. (1976) Two doses of imipramine in hospitalized endogenous and neurotic depressions. *Archives of General*

Psychiatry 33

CF.

Sotsky, S. M., et. al. (1991) Patient predictors of response to psychotherapy and pharmacotherapy: Findings in the NIMH treatment of depression collaborative research program. *American Journal of Psychiatry*, 148: 997-1008

CG.

Vallejo, J., et. Al. (1991) Predictors of antidepressant treatment outcome in melancholia: psychosocial, clinical, and biological indicators. *Journal of Affective Disorders*, 21: 151-162

CH.

Werbos, P.J. (1974) *Beyond Regressions: New Tools for Prediction and Analysis in the Behavioral Sciences*. Ph.D. Thesis, Harvard University

CI.

White, K. and White, J. (1986) Tranylcypromine: Patterns and Predictors of Response. *Journal of Clinical Psychiatry*, 47: 380-382.

CJ.

Georgotas, Anastasios, et al. (1987) Clinical Predictors of Response to Antidepressants in Elderly Patients. *Biol. Psychiatry* 22: 733-740.